SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] Brooks Stephen Donald						2. Issuer Name and Ticker or Trading Symbol <u>RING ENERGY, INC.</u> [REI]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
			iddla)		-									x	Officer (g below)	ive title		Other (s		
(Last) (First) (Middle) 1725 HUGHES LANDING BLVD. SUITE 900						3. Date of Earliest Transaction (Month/Day/Year) 10/03/2022									VP-LAND, LEGAL HR, MARKETING					
(Street) THE WOODLAND	S TX (State)	4. 11	4. If Amendment, Date of Original Filed (Month/Day/Year)									 Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person 								
		T	able I - No	n-De	erivat	ive S	ecuriti	es Acq	uired,	Dis	posed of	, or l	Benefi	cially Ow	ned					
Date					Date Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an				Securities Beneficially Following	Beneficially Owned Following Reported		nership Direct (D) irect (I) 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount		(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Common Stock 10/						/03/2022			F	16,234		(1)	D	\$2.32	305,083			D		
			Table II -								sed of, c onvertibl				əd					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Dat if any (Month/Day/Ye	~	Code (Inst		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expirat (Month/	ion Da		7. Title and Amo Securities Under Derivative Secur (Instr. 3 and 4)		derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio	e s illy g	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v			Date Expiratio Exercisable Date		Expiration Date	Title		Amount or Number of Shares	(Instr. 4)					

Explanation of Responses:

1. Shares of Common Stock withheld by the Registrant to cover tax withholding obligations of the reporting person arising from the settlement of a restricted stock unit award granted under the Registrant's long-term incentive plan.

<u>/s/ Stephen D. Brooks</u> ** Signature of Reporting Person

Date

10/11/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.